

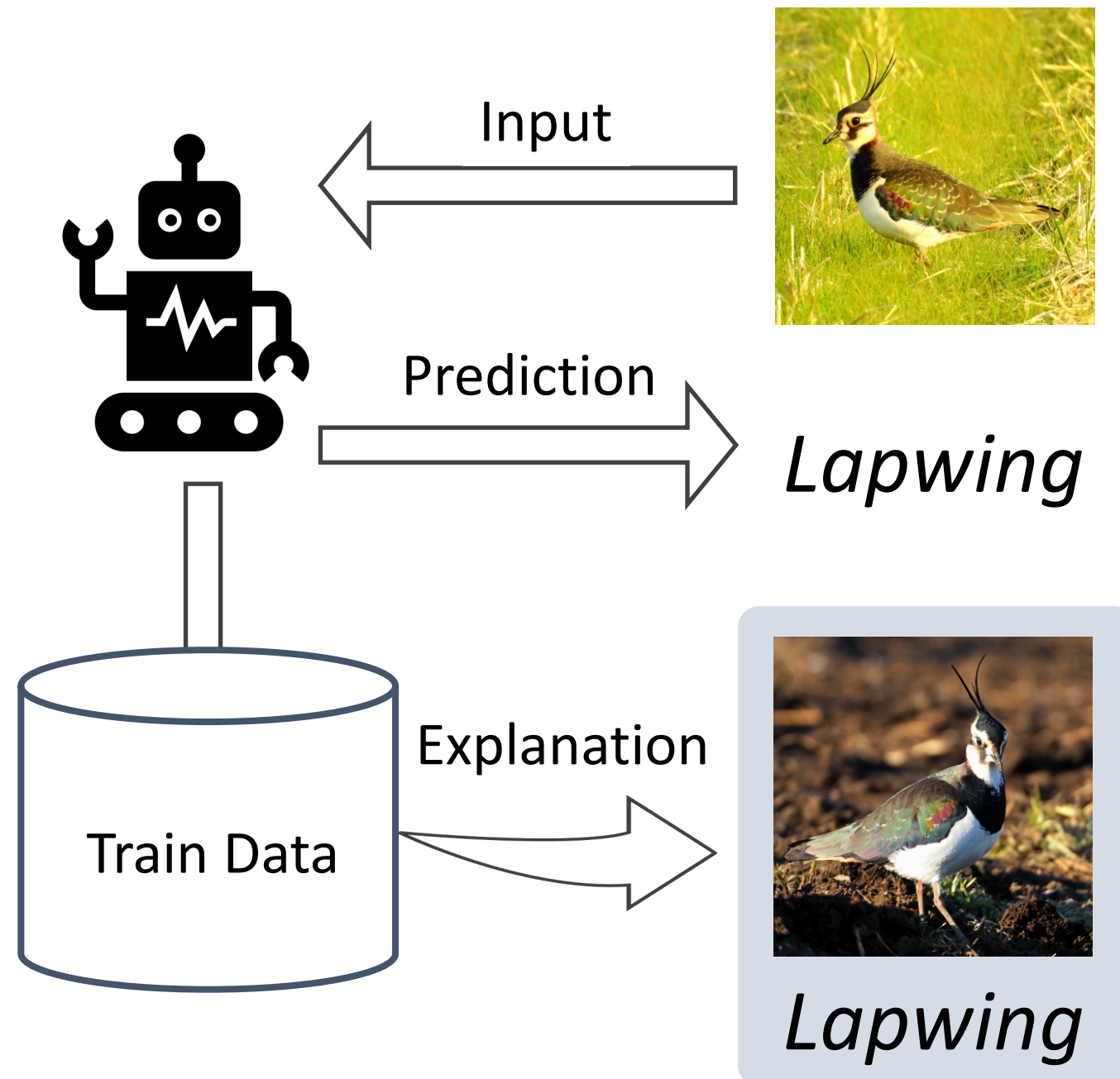
# Evaluation of Similarity-based Explanations

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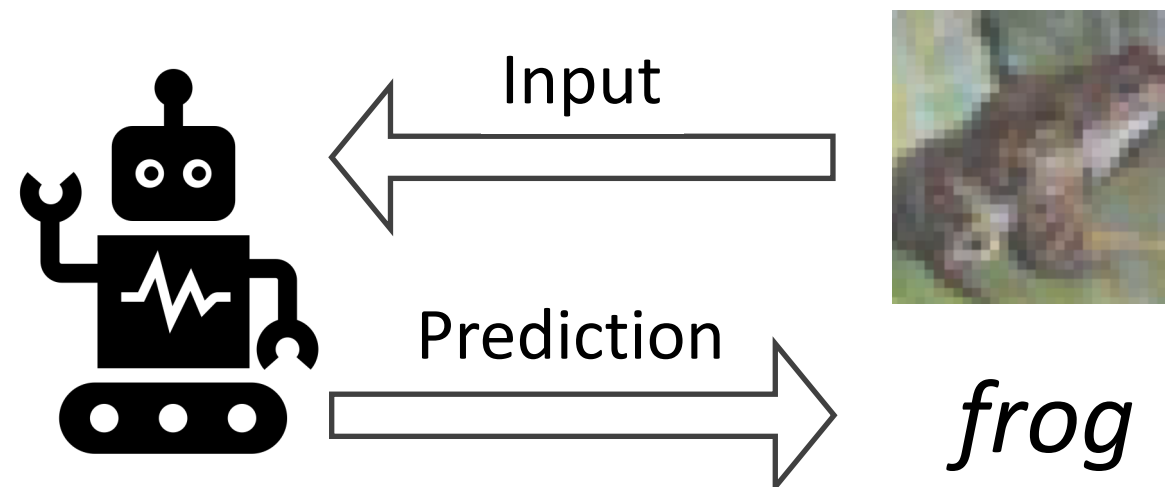
# Background: Similarity-based Explanation

- Explanation by “presenting similar examples” [Charpiat+, 2019; Barshan+, 2020]

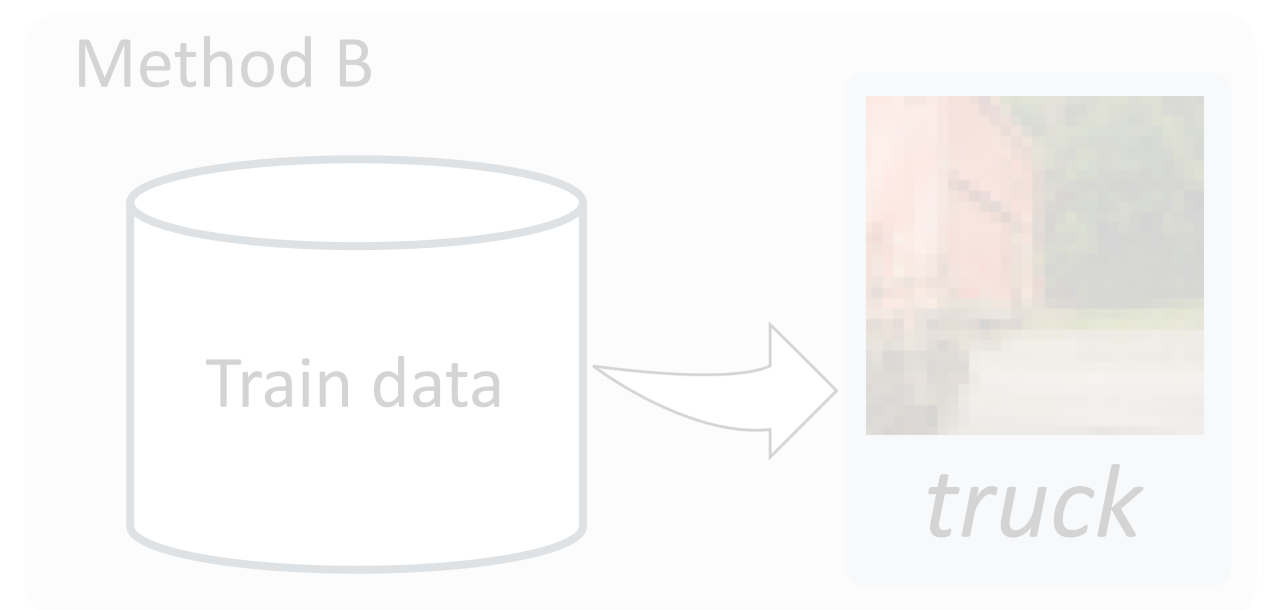
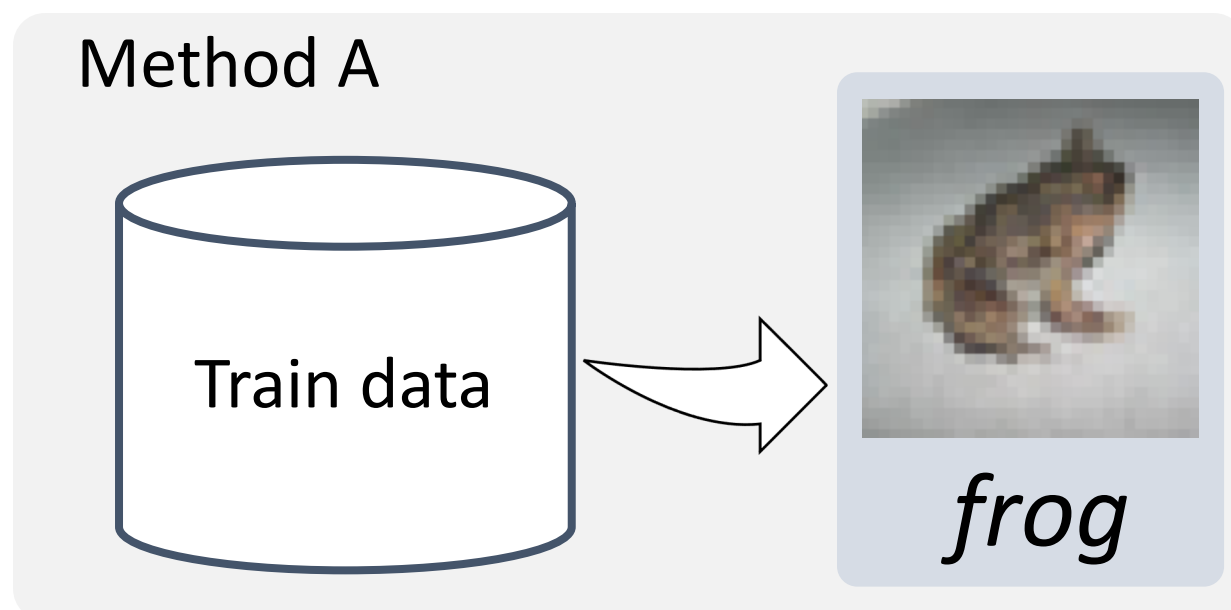


Present a similar training instance  
as the reason for the prediction

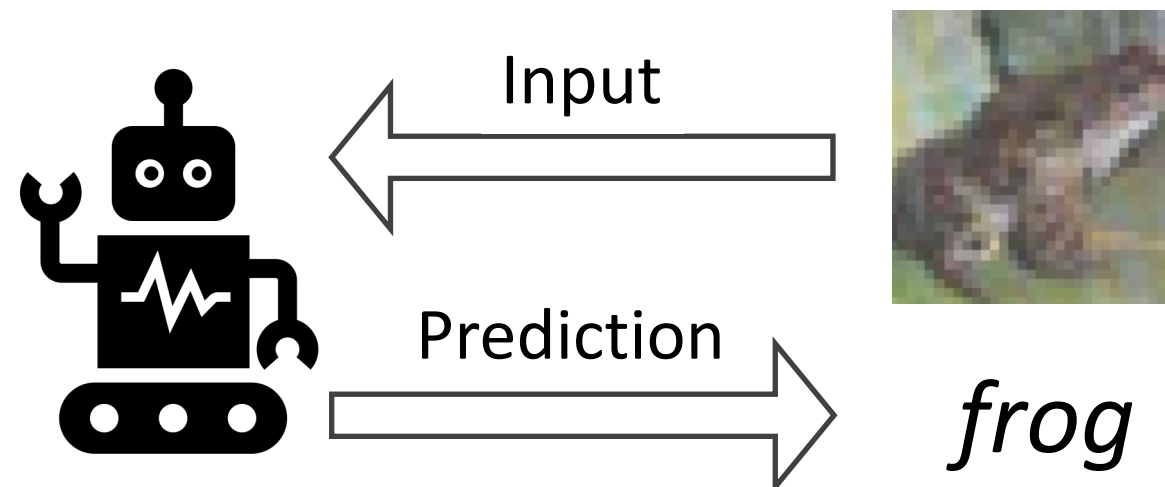
# Can existing methods provide reasonable explanations?



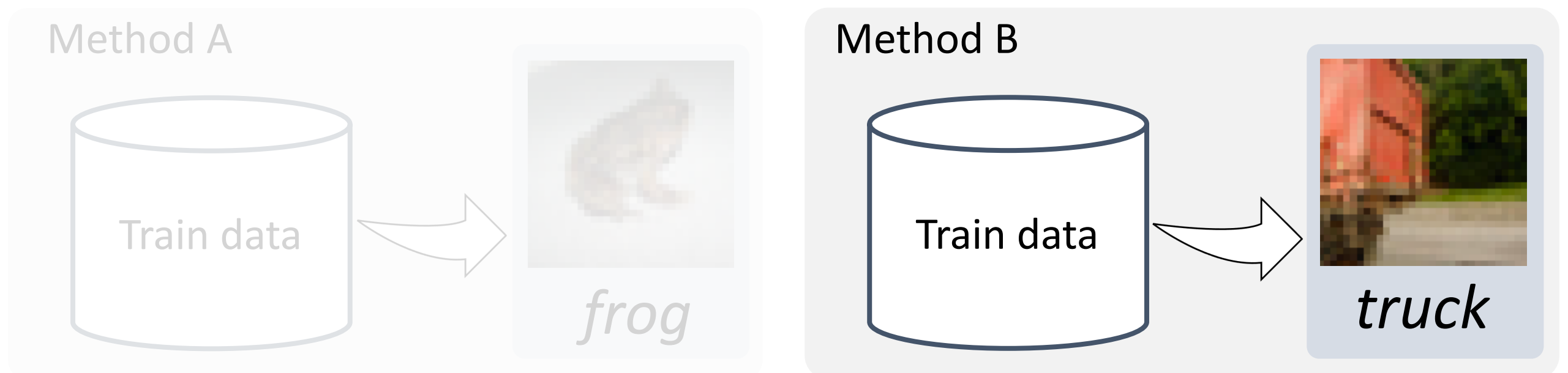
The reason for “predicting this image to be a *frog*” is ...



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The reason for “predicting this image to be a *frog*” is ...



- The instance obtained by Method B (*truck*) will not be convincing.

# Contributions: Investigating appropriate explanation methods

- Evaluate the similarity-based explanation with **three tests** from **two perspectives**
- Explanations need to be **plausible** and **faithful** [Jacovi & Goldberg, 2020].

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    - Test 3: **Randomization test**

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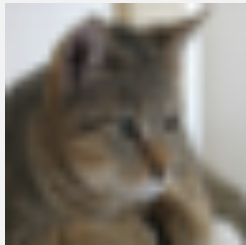
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# Identical Class Test

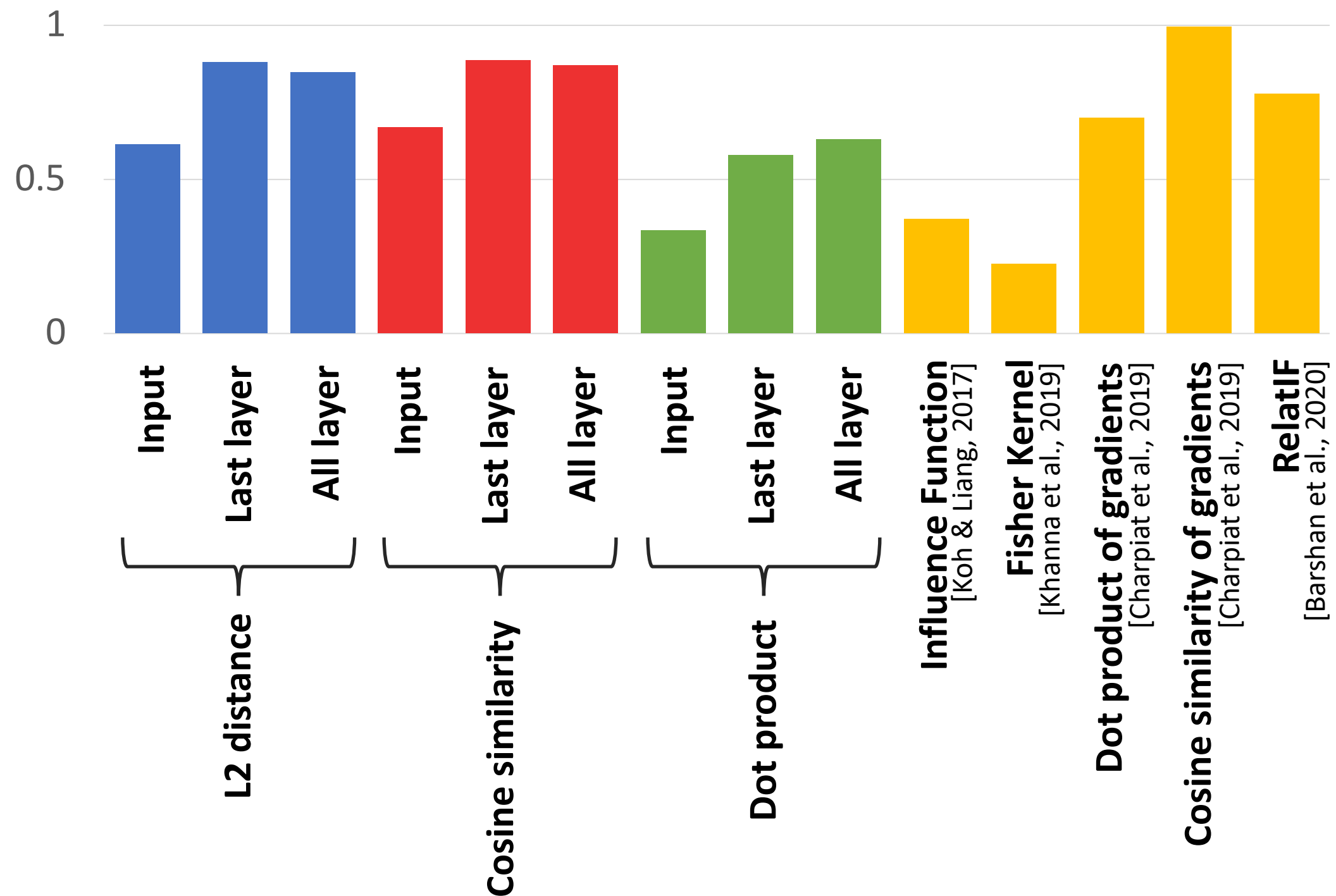
- Check if **the predicted class** and **the presented class** are the same
- Evaluate the plausibility of the explanation

Example of CIFAR-10

	Test instance		Training instance
✓		is <b>cat</b> . Because	 is <b>cat</b> .
✗		is <b>cat</b> . Because	 is <b>dog</b> .

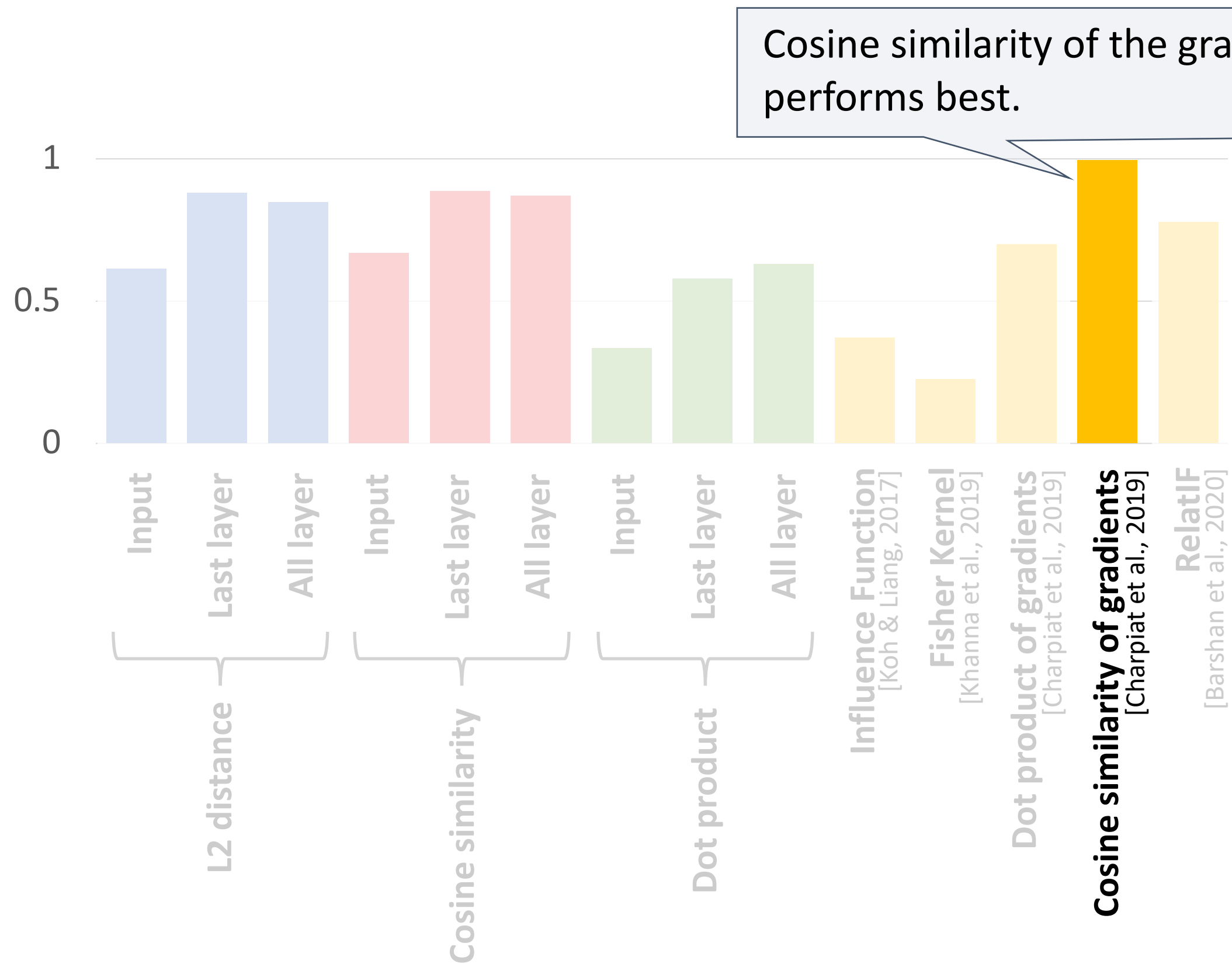
# Results of Identical Class Test

- Measure the percentage of the **most similar instance** in the same class



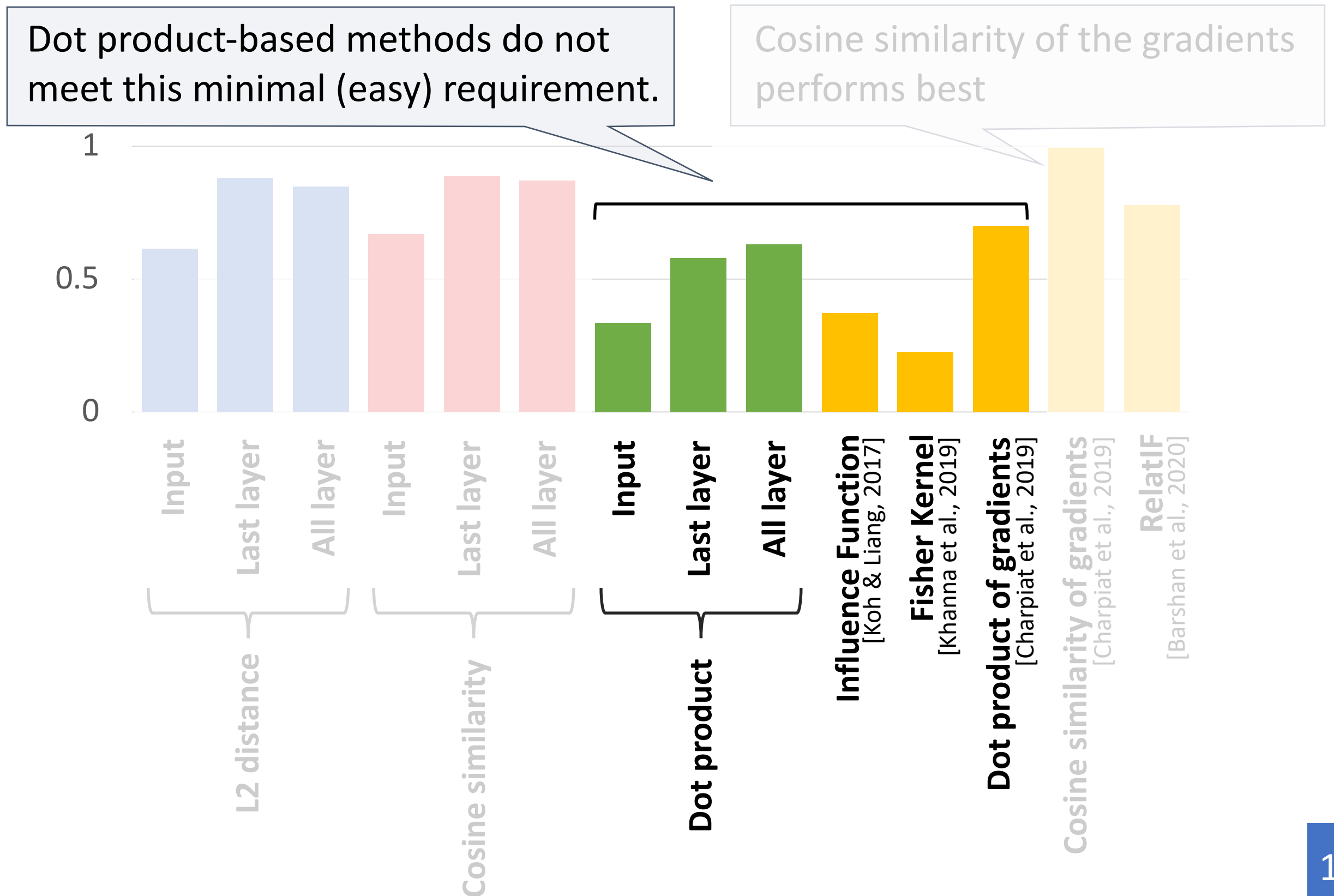
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# Why Are Dot Product-based Metrics **Not** Successful ?

- Some instances are judged as similar to various test instances due to **the large norm**.

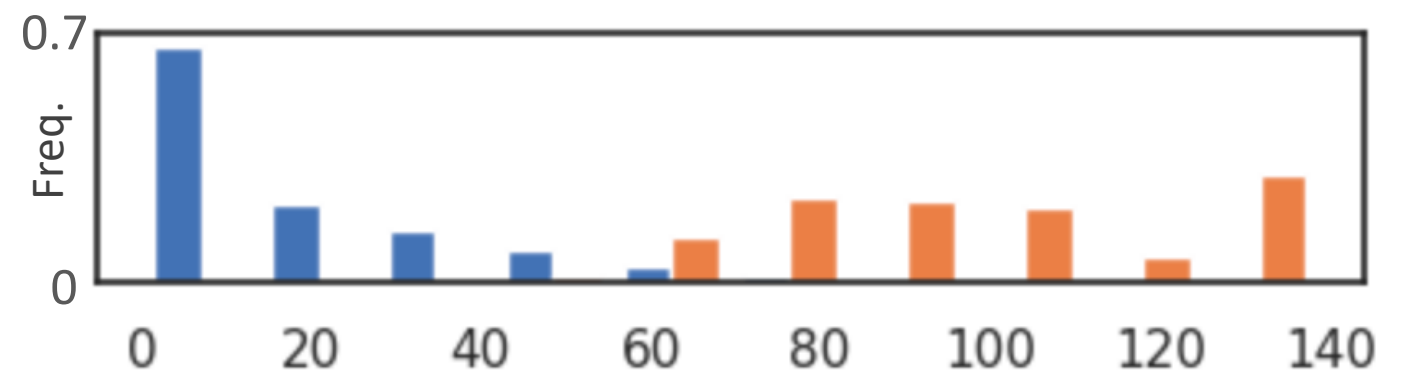
Example of **Dot product of gradients**  $\langle g_{\text{test}}, g_i \rangle$  [Charpiat et al., 2019]

$g_{\text{test}}$ : Gradient of the test instance

$g_i$ : Gradient of the  $i$ -th training instance

Norms for the entire training data

Norms for selected training instances



Test instance	Explanation	Test instance	Explanation	Test instance	Explanation
					
<i>frog</i>	<i>ship</i>	<i>horse</i>	<i>ship</i>	<i>cat</i>	<i>ship</i>

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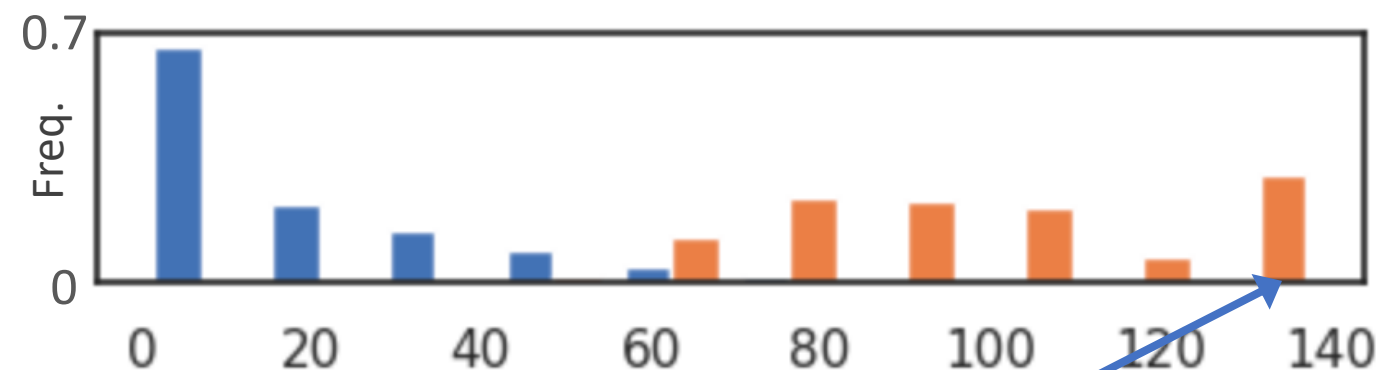
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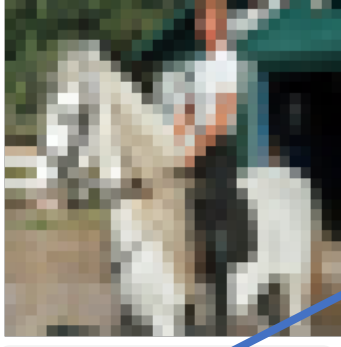

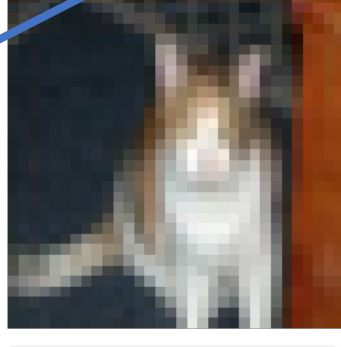

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Test instance	Explanation	Test instance	Explanation	Test instance	Explanation
	 $\ g_i\ _2 = 131.3$				
<i>frog</i>	<i>ship</i>	<i>horse</i>	<i>ship</i>	<i>cat</i>	<i>ship</i>

# Summary

- Evaluated the appropriateness of the **similarity-based explanation**
  - Perspective 1: **Plausibility** [Lei+, 2016; Lage+, 2019; Strout+, 2019]
    - Test 1: **Identical class test**
    - Test 2: **Identical subclass test**
  - Perspective 2: **Faithfulness** [Adebayo+, 2018; Lakkaraju+, 2019; Jacovi & Goldberg, 2020]
    - Test 3: **Randomization test**
- The results of the evaluation are as follows:
  - **Cosine similarity of the gradients** performs best.
  - **Dot product-based methods** do not meet minimal requirements.
- Expect that our work will help select/design better explanation methods